

Template for the management sign-off form

To:

Sign-off Form

Subject: Eligibility of liability figures reported under Commission Implementing Regulation (EU) 2021/763¹

Name of the submitting entity:	
Name of the Entity(ies) covered in the sign-off form:	
Legal Entity Identifier(s) (LEI):	
For Report:	Reporting under Article 1(a) of Commission Implementing Regulation (EU) 2021/763
Reference date of Report:	
Submission date of Report:	
Name of validator of report:	
Position within the institution:	

The Management Sign-Off Form is designed to ensure that the figures for liabilities reported under Article 1(a) of Commission Implementing Regulation (EU) 2021/763 meet the eligibility criteria in the legislation. The SRB retains the right to request any additional information it deems necessary as well as access to documentation during future on-site-inspections.

Instructions: The Management Sign-off Form should be signed in line with Principle 1.1 indent 5 of the SRB's Expectations for Banks². Institutions shall submit the Management Sign-Off Form to the local NRA with each quarterly reporting under Article 1(a) of Commission Implementing Regulation (EU) 2021/763.

Where each quarterly reporting under Article 1(a) of Commission Implementing Regulation (EU) 2021/763 of a local subsidiary established in the same Member State as its parent undertaking is submitted centrally³ by the parent undertaking or if applicable by the central body of the cooperative network to the relevant NRA, one sign-off form by the parent undertaking or central body is sufficient. It should list the LEIs of all the local subsidiaries established in the Banking Union which it covers. Differently, where the quarterly reporting under Article 1(a) of Commission Implementing Regulation (EU) 2021/763 is submitted independently by each local subsidiary to the relevant NRAs, it should be accompanied by a separate sign-off form for each local subsidiary.

¹ https://eur-lex.europa.eu/eli/reg_impl/2021/763

² Principle 1.1 indent 5 of the SRB's [Expectations for Banks](#) provides that "Banks are expected to appoint a member of the management body that is responsible for the (internal) work on resolution planning and the implementation of the resolvability work programme. This member signs off on the main deliverables or ensures adequate delegation arrangements in this respect, as part of appropriate internal control and assurance mechanisms, e.g. the resolution reporting templates".

³ In order to mirror the internal organization of the banking group.

Procedures and controls were put in place to ensure that reported data under Article 1(a) of Commission Implementing Regulation (EU) 2021/763 corresponds to liabilities which meet the eligibility criteria in Regulation (EU) No 575/2013 amended by Regulation (EU) 2019/876, Regulation (EU) No 806/2014 as amended by Regulation (EU) 2019/877 and Directive 2014/59/EU as amended by Directive (EU) 2019/879.

I/We hereby certify that the above information is adequate, complete and accurate.

The Entity(ies):

Duly represented by:

Signature(s): _____

Date: