



## FOR THE MEMBERS AND ALTERNATES OF THE APPEAL PANEL OF THE SINGLE RESOLUTION BOARD

### DECLARATION OF COMMITMENTS AND INTERESTS

according to Article 85(5) of the Regulation (EU) No 806/2014<sup>1</sup>  
(the "SRM Regulation").

*This questionnaire aims at allowing the Single Resolution Board (hereinafter the "Board") as authority empowered to establish an Appeal Panel (the "Panel") and to appoint the members of the Panel ("Members") and their alternates ("Alternates") to identify potential or actual conflicts of interest in relation to their membership in the Panel and the appropriate measures to be adopted, if any.*

*This questionnaire does not exempt Members and Alternates of the Panel from complying with all the ethics obligations imposed on them by applicable regulations.*

*Once this form has been finalised, one copy will be transmitted to the Board's Ethics Officer (the "Ethics Officer") for assessment and to the Board's Human Resources Team for insertion in the personal file. Part I of the below statement by a Member or Alternate will be published on the Board's website according to Article 85(5) SRM Regulation. One copy will also be transmitted to the Member and Alternate.*

<b><u>Personal Information</u></b>
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Surname/first name: Prof. Dr. Pichler Herbert

Address for correspondence: .....

Home telephone number: .....

Work telephone number: .....

Private E-mail address: .....

Work E-Mail address: .....

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<sup>1</sup> OJ L 225, 30.7.2014, p. 1.



## **DECLARATION**

according to Article 85(5) Regulation (EU) 806/2014 of 15 July 2014  
establishing uniform rules and a uniform procedure for the resolution of credit institutions  
and certain investment firms in the framework of a Single Resolution Mechanism and a  
Single Resolution Fund

### **PART I**

Surname/first name: Prof. Dr. Pichler Herbert

### **DECLARATION OF COMMITMENTS**

*The Member or Alternate of the Appeal Panel to the Single Resolution Board hereby declares to, either as Member or as Alternate to the Appeal Panel:*

- (i) possess the necessary relevant knowledge and professional experience, including resolution experience, to a sufficiently high level in the fields of banking or other financial services;*
- (ii) be independent of the Single Resolution Board and its engagements, including by not being employed as current staff, nor as current staff of resolution authorities or other national or Union institutions, bodies, offices and agencies who are involved in performing the tasks conferred on the Single Resolution; and*
- (iii) to allocate sufficient time and effort to the work of the Appeal Panel, within reasonable limits and the necessary organisational and financial support by the Single Resolution Board.*

### **DECLARATION OF INTERESTS**

#### **INDICATING ANY DIRECT OR INDIRECT INTEREST WHICH MIGHT BE CONSIDERED TO BE PREJUDICIAL TO HIS/HER INDEPENDENCE OR THE ABSENCE OF ANY SUCH INTEREST**

*Do you have any direct or indirect personal interest, in particular a family or financial interest, or do you represent any other interests of third parties which would actually or potentially impair your independence in the course of your duties as Member or Alternate of the Appeal Panel and which might be considered to be prejudicial to your independence thus leading to any actual or potential conflict of interest relevant to that position?*

YES ☐ NO ☒

*If yes, please detail:*

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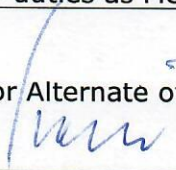
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I hereby certify that the information provided in this form is correct and complete and that my curriculum vitae is duly updated to date and attached to this declaration. I will immediately inform the Single Resolution Board of any change in my situation, or of any

new relevant information I receive which could cause a breach of Article 85 Regulation (EU) 806/2014. I am aware that any false declaration may result in disciplinary sanctions and the exclusion from my duties as Member/Alternate of the Appeal Panel.

Signature of the Member or Alternate of the Appeal Panel:



Date: 20. /November 2018