



FOR THE MEMBERS AND ALTERNATES OF THE APPEAL PANEL OF THE SINGLE RESOLUTION BOARD

DECLARATION OF COMMITMENTS AND INTERESTS

according to Article 85(5) of the Regulation (EU) No 806/2014¹
(the "SRM Regulation").

This questionnaire aims at allowing the Single Resolution Board (hereinafter the "Board") as authority empowered to establish an Appeal Panel (the "Panel") and to appoint the members of the Panel ("Members") and their alternates ("Alternates") to identify potential or actual conflicts of interest in relation to their membership in the Panel and the appropriate measures to be adopted, if any.

This questionnaire does not exempt Members and Alternates of the Panel from complying with all the ethics obligations imposed on them by applicable regulations.

Once this form has been finalised, one copy will be transmitted to the Board's Ethics Officer (the "Ethics Officer") for assessment and to the Board's Human Resources Team for insertion in the personal file. Part I of the below statement by a Member or Alternate will be published on the Board's website according to Article 85(5) SRM Regulation. One copy will also be transmitted to the Member and Alternate.

Personal Information

Surname/first name: Morais / Luis Silva

Address for correspondence: Avenida da Liberdade n. 258 – 3 andar, 1250-149 Lisboa

Home telephone number: +351 217 164 650

Work telephone number: +351 213 860 556

Private E-mail address: luis.morais.adv@netcabo.pt

Work E-Mail address: luis.morais@lsmadvogados.com

¹ OJ L 225, 30.7.2014, p. 1.

DECLARATION

according to Article 85(5) Regulation (EU) 806/2014 of 15 July 2014 establishing uniform rules and a uniform procedure for the resolution of credit institutions and certain investment firms in the framework of a Single Resolution Mechanism and a Single Resolution Fund

PART I

Surname/first name: Morais / Luis Silva

DECLARATION OF COMMITMENTS

The Member or Alternate of the Appeal Panel to the Single Resolution Board hereby declares to, either as Member or as Alternate to the Appeal Panel:

- (i) possess the necessary relevant knowledge and professional experience, including resolution experience, to a sufficiently high level in the fields of banking or other financial services;*
- (ii) be independent of the Single Resolution Board and its engagements, including by not being employed as current staff, nor as current staff of resolution authorities or other national or Union institutions, bodies, offices and agencies who are involved in performing the tasks conferred on the Single Resolution; and*
- (iii) to allocate sufficient time and effort to the work of the Appeal Panel, within reasonable limits and the necessary organisational and financial support by the Single Resolution Board.*

**DECLARATION OF INTERESTS
INDICATING ANY DIRECT OR INDIRECT INTEREST WHICH MIGHT BE
CONSIDERED TO BE PREJUDICIAL TO HIS/HER INDEPENDENCE OR THE
ABSENCE OF ANY SUCH INTEREST**

Do you have any direct or indirect personal interest, in particular a family or financial interest, or do you represent any other interests of third parties which would actually or potentially impair your independence in the course of your duties as Member or Alternate of the Appeal Panel and which might be considered to be prejudicial to your independence thus leading to any actual or potential conflict of interest relevant to that position?

YES NO

If yes, please detail:

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I hereby certify that the information provided in this form is correct and complete and that my curriculum vitae is duly updated to date and attached to this declaration. I will immediately inform the Single Resolution Board of any change in my situation, or of any

CONCLUSION

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| SURNAME/FIRST NAME: <i>Marcis / Wis Silve</i> | POSITION: <i>Member AP</i> |
| SIGNATURE:  | DATE: <i>16.4.2018</i> |



PART III

OPINION OF THE BOARD (ONLY TO BE FILLED IN CASES OF II.2 OR II.3 ABOVE)
- NOT TO BE PUBLISHED -

(Potential) conflict of interest

- Describe the personal interests which would actually or potentially impair the Member or Alternate of the Appeal Panel's independence in carrying out his duties in the specific position or any other circumstance which actually or potentially constitutes a conflict of interest with respect to this position.

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- Describe measures which could be taken to mitigate the negative effects of the actual or potential conflict of interest.

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- Explain how the measures proposed are proportionate to the scope of the actual or potential conflict of interest.

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No (risk of a) conflict of interest

- If the Single Resolution Board or the Member or Alternate of the Appeal Panel has identified a (potential) conflict of interest, please explain why you, as Board, believe there is no (risk of a) conflict of interest.

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CONCLUSION

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SURNAME/FIRST NAME: POSITION:

SIGNATURE: DATE: ./. /. . . .

FOR USE BY THE ADMINISTRATION ONLY

PART II

**Pre-Selection opinion by the ETHICS Officer based on this form
and on the (updated) CV provided by the Member or Alternate of the Appeal
Panel**

- Not to be published -

1. No identification of personal interest by the Member or Alternate of the Appeal Panel.

- If the Member or Alternate of the Appeal Panel **has not identified** any personal interest, in particular a family or financial interest, or representation of any other interests of third parties which may lead to an actual or potential conflict of interest and if you confirm that opinion, **this form is considered as finalised and will not be assessed by the Board.**

2. Identification of personal interest by the Member or Alternate of the Appeal Panel, but no (risk of) conflict of interest identified.

- If the Member or Alternate of the Appeal Panel **has identified** any personal interest, in particular a family or financial interest, or representation of any other interests of third parties which may, in his view, lead to an actual or potential conflict of interest, please explain why you believe there is no (risk of a) conflict of interest.

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3. (Potential) conflict of interest identified:

- Describe the personal interests which would actually or potentially impair the Member or Alternate of the Appeal Panel's independence in carrying out his duties in the specific position or any other circumstance which actually or potentially constitutes a conflict of interest with respect to the position.

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- Describe measures which could be taken to mitigate the negative effects of the actual or potential conflict of interest.

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- Explain how the measures proposed are proportionate to the scope of the actual or potential conflict of interest.

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- In case such measures as described above have already been discussed with the Member or Alternate of the Appeal Panel, please describe the views of the Member or Alternate.

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new relevant information I receive which could cause a breach of Article 85 Regulation (EU) 806/2014. I am aware that any false declaration may result in disciplinary sanctions and the exclusion from my duties as Member/Alternate of the Appeal Panel.

Signature of the Member or Alternate of the Appeal Panel:

Date:/..../....