

Privacy statement for processing of personal data related to the Data Reporting Form for the 2022 ex-ante contribution period

1. Data Protection legal framework applicable to the Single Resolution Board (SRB)

Regulation 2018/1725¹ (herein after "EUDPR") applies to the processing of personal data of representatives of the institutions in the context of application of Articles 67, 69 and 70 of the Regulation (EU) 806/2014² (herein after "SRMR"). Namely, Article 70(2) of SRMR prescribes that the Single Resolution Board ("SRB") is responsible for calculating the yearly ex-ante contribution to the Single Resolution Fund ("SRF") due by each institution in scope in accordance with Article 2 of SRMR.

2. The SRB as controller of processing personal data

The SRB is the controller for processing the personal data. The SRB's Unit E4 Contributions & Financing (<u>SRB-Contributions@srb.europa.eu</u>) is responsible for the processing.

3. Purposes for processing personal data

The objective of the Data Reporting Form ("DRF") is to collect information necessary for the calculation of the individual ex-ante contribution to the SRF due by each institution in the scope for the 2022 exante contribution period.

The purpose of processing is to obtain data in order to calculate 2022 ex-ante contributions and contact details of person(s) representatives of the institutions in order to contact them to obtain clarifications with regard to the data provided in the 2022 DRF. All the data received will be processed within the European Economic Area ("EEA").

4. Categories of personal data

The categories of personal data processed are the following:

- For the institutions representative: contact details (last name, first name, email address, phone number);
- For the identification of the institution: full name of the institution; legal entity identifier ("LEI") of the institution, register of institutions and affiliates database ("RIAD") identifier; other information about the institution that facilitates calculation of ex-ante contributions.

5. Legal basis for processing personal data

The processing of personal data is necessary within the meaning of Article 5(1)(a) and (b) of the EUDPR in conjunction with Articles 67, 69 and 70 of the SRMR.

6. Recipients of the personal data

When the SRB processes personal data for the aforementioned purposes, the following persons may access your personal data on a strict need-to-know basis:

- staff members of the Unit E4 Finance and Contribution;
- any other person designated by the SRB to assist the Unit E4 Contributions and Financing in processing the operations, including but not limited to external consultants who are bound by confidentiality obligations; and
- National Resolution Authorities.

¹ Regulation (EU) <u>2018/1725</u> of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC. OJ L 295, 21.11.2018, p. 39-98.

² Regulation (EU) No <u>806/2014</u> of the European Parliament and of the Council of 15 July 2014 establishing uniform rules and a uniform procedure for the resolution of credit institutions and certain investment firms in the framework of a Single Resolution Mechanism and a Single Resolution Fund and amending Regulation (EU) No 1093/2010. OJ L 225, 30.7.2014, p. 1-90.



7. Time limits for storing personal data

The data will be stored by the SRB for the entire duration of the 2022 ex-ante calculation procedure and/or any further legal actions that are brought against the SRB under the Treaty on Functioning of the European Union within the limitation periods.

In case institutions' representatives are no longer involved in the data provision process the time limit for storing the personal data is maximum one year following the moment the SRB receives the confirmation that institutions' representatives are no longer involved. Any changes in this respect should be communicated to the SRB without delay and the contact details will be updated in a timely manner.

8. Data protection rights

You have the right to access your personal data and correct any data that are inaccurate or incomplete. You have also (with some limitations) the rights to delete your personal data, to object or to restrict the processing of your personal data in line with the EUDPR.

9. Contact information in case of queries and requests

You can exercise your rights by contacting the SRB's Unit E4 Contributions & Financing at <u>SRB-Contributions@srb.europa.eu</u>. The SRB's Data Protection Officer at <u>SRB-DPO@srb.europa.eu</u> answers all queries relating to personal data protection.

10. Addressing the European Data Protection Supervisor

If you consider that your rights under EUDPR have been violated as a result of the processing of your personal data, you have the right to lodge a complaint with the <u>European Data Protection Supervisor</u> at any time.