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Single Resolution Board

SRB-GREEN

DECISION OF THE SINGLE RESOLUTION BOARD

Date	**30/10/2026**
Title	**adopting the Rules of Procedure of the Board in its Plenary Session**
Reference	**(SRB/PS/2026/24)**

THE SINGLE RESOLUTION BOARD,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 806/2014 of the European Parliament and of the Council of 15 July 2014 establishing uniform rules and a uniform procedure for the resolution of credit institutions and certain investment firms in the framework of a Single Resolution Mechanism and a Single Resolution Fund and amending Regulation (EU) No 1093/2010, and in particular Articles 50 (1) (k) and 52 (4) thereof,

HAS ADOPTED THIS DECISION:

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Chapter I - General Provisions

Article 1
Subject-matter

1. This Decision lays down the Rules of Procedure of the Board in its Plenary Session.[1]
2. These Rules of Procedure shall supplement the SRM Regulation.

Article 2
Definitions

1. For the purposes of these Rules of Procedure, the following definitions shall apply:

"**SRM Regulation**" means Regulation (EU) No 806/2014 of the European Parliament and of the Council of 15 July 2014 establishing uniform rules and a uniform procedure for the resolution of credit institutions and certain investment firms in the framework of a Single Resolution Mechanism and a Single Resolution Fund and amending Regulation (EU) No 1093/2010, as amended;

"**CoC**" means the SRB Code of Conduct in force at the material time;[2]

"**members**" means both the permanent members and the representatives of national resolution authorities appointed from each participating Member State as referred to in Article 43(1)(c) SRM Regulation.

"**permanent members**" means the Chair appointed in accordance with Article 56 SRM Regulation, the Vice-Chair assisting the Chair referred to and appointed in accordance with Article 56 SRM Regulation; and the four further full-time members of the Board referred to in Article 43(1)(b) SRM Regulation;

"**observers**" means both permanent observers and ad hoc observers;

"**permanent observers**" means the representatives designated by the European Commission and the European Central Bank in accordance with Article 43(3) SRM Regulation;

"**ad hoc observers"** means the representatives designated by entities invited by the Board to participate in the Plenary Session on an ad hoc basis, in accordance with Article 51(3) of the SRM Regulation;

"**SRB**" or "**Board**" means the Single Resolution Board;

"**Terms of Reference**" means mandates of the Plenary Session substructures, allowing for the pursue

[1] This Decision is supplemented by the SRB Decision of 17 June 2021 on empowerment to correct obvious errors in SRB Decisions, supplementing the SRB Decisions on the Rules of Procedure of the Board in its Executive Session (SRB/PS/2020/14) and on the Rules of Procedure of the Board in its Plenary Session (SRB/PS/2020/15) (SRB/PS/2021/06).

[2] Decision of the Single Resolution Board of 24 June 2020 adopting the Code of Conduct of the Single Resolution Board (SRB/PS/2020/16)

of specific objectives within the SRB's strategic goals. These mandates govern the functioning, reporting obligations and membership requirements.

2. In the absence of a relevant definition in paragraph 1 of this Article, the definitions referred to in Article 3 of the SRM Regulation shall apply.

Chapter II - Plenary Session

Article 3
Tasks of the Plenary Session

1. The Plenary Session shall have the tasks specified in Article 50 of SRM Regulation and any other tasks provided for under Union acts.
2. The Plenary Session shall also exercise its functions in the context of consultations provided for under Article 54 of the SRM Regulation.

Article 4
Composition of the Plenary Session

1. The Plenary Session shall be composed of the following members:
   (a) the permanent members; and
   (b) an appointed representative of the national resolution authority from each participating Member State.
2. Where more than one national resolution authority is established in a participating Member State, only the representative of one authority may participate as member.

Article 5
Convocation of the Plenary Session

1. The Plenary Session shall be convened regularly in accordance with an annual schedule that shall be determined by the Plenary Session in good time before the start of each calendar year, based on a proposal by the Chair. The proposed schedule shall include a forward-looking plan of items expected to be addressed over the course of the year.
2. Independently of the schedule, the Chair may also convene at any time the Plenary Session.
3. One-third of the Plenary Session members or the representative of the European Commission may request the Chair to convene the Plenary Session. In such case, the Chair shall provide reasons in writing if a meeting is not convened in due time.
4. As a rule, the Plenary Session shall be convened as a meeting. When deemed necessary, it may instead be conveyed via written procedure.

Article 6
Plenary Session Substructures

1. The Plenary Session may establish, amend and dissolve its substructures. These substructures, in accordance with their Terms of Reference adopted under paragraph 4, shall advise the Plenary Session members in carrying out their duties, while respecting the tasks attributed to the Plenary Session.
2. The substructures shall be composed of representatives, each appointed by a member referred to in Article 4(1)(b). Participation in the substructures shall be determined individually by each member of the

Plenary Session.

3. The representatives of the observers may be invited to participate in Plenary substructures upon their request or upon direct invitation from the Chair. In this case, each permanent observer or observer may have one representative per substructure.

4. On a proposal from the Chair, the Plenary Session shall adopt, amend or revoke the Terms of Reference of the substructures and appoint their Chairpersons. Chairpersons shall be one of the permanent members or a senior SRB staff member. Chairpersons shall regularly report on the ongoing work of the substructures to the Executive and the Plenary Sessions, as appropriate.

Chapter III - Decision-making procedures

Article 7
Types of decision-making procedure

The Plenary Session may be convened as a meeting or as a written procedure.

Section I - Meetings of the Plenary Session

Article 8
Agenda and documentation

1. The Plenary Session shall adopt the agenda at the start of each meeting.

2. A provisional agenda of a meeting shall be drawn up by the Chair and shall be circulated by the SRB Secretariat along with the related documentation to the members and observers at least five working days prior to the meeting.

3. An item shall be added to or removed from the provisional agenda at the request of the simple majority of its members articulated prior to or at the meeting. Any member may, prior or during the meeting, request the circulation of additional documents or amended versions of submitted documents, including amendments to the requested action. Unless a simple majority of the members objects to it, the requested documentation shall be circulated.

4. Where an extraordinary meeting is required to deal with urgent matters, the timeline may be shortened in accordance with Article 14.

Article 9
Chairing

1. The Chair presides over the meetings of the Plenary Session.

2. The Chair shall be assisted by the Vice-Chair. The Vice-Chair shall participate in all the meetings of the Plenary Session. In case of absence and in case of reasonable impediments or conflict of interest under Article 9 (2) of the CoC by the Chair, the Vice-Chair shall chair the meetings of the Plenary Session and carry out the functions and exercise all the powers of the Chair.

3. In the absence of both the Chair and the Vice-Chair, the Plenary Session shall be chaired by the most senior permanent member in terms of the length of their term of office in the first instance, and in the event of two or more permanent members having equal standing in terms of the length of term in office, by the most senior permanent member in age.

Article 10

Attendance and observers

1. The permanent observers are entitled to participate in all meetings of the Plenary Sessions.
2. The Board may also, where relevant, invite ad hoc observers to participate in the meeting of the Plenary Session. In particular, a representative of the European Banking Authority (EBA) may be invited as observer, in particular when matters are discussed for which, in accordance with Directive 2014/59/EU, the EBA is required to develop technical standards or to issue guidelines.
3. In the case provided for in Article 4(2), representatives of any national resolution authority other than the one appointed as a member shall be allowed to attend the meeting as ad hoc observers.
4. Attendance at the meetings of the Plenary Sessions is restricted to its members, observers, the SRB Secretariat staff and the SRB General Counsel, who should strive to attend all meetings of the Plenary Session.
5. Members referred to under Article 4(1)(b) and observers may be accompanied at the meetings of the Plenary Session by one person.
6. The Chair on their own initiative, or upon request of other members or observers, may invite technical experts to participate in that meeting or parts thereof and to provide their advice and expertise on specific matters. Their participation shall be announced to the SRB Secretariat in good time before that Plenary Session and may be limited by the Chair to a maximum of two per item included in the agenda of that meeting.
7. In case of Conflict of Interest under Article 9(2) of the CoC, members, alternates and observers shall not take part in any deliberations or vote in relation to that situation and shall not be provided with any related documentation.
8. The meetings of the Plenary Session shall take place at the premises of the SRB in Brussels, unless decided otherwise by the Chair. The meetings may be held physically, by teleconference or other technical means, or in a hybrid format.
9. At the initiative of the Chair, or at the request of at least three members, or when the circumstances so require, the meetings of the Plenary Session may also take place exclusively by means of teleconference.

Article 11

Representation of National Resolution Authorities and Observers

1. Each national resolution authority of the participating Member States shall notify the Chair and SRB Secretariat of the appointed representative that will participate in the Plenary Sessions.
2. Each national resolution authority of participating Member States may also designate an alternate representative to carry out the functions of the appointed representative in their absence.
3. If neither the appointed representative nor the alternate is able to attend the Plenary Session, an ad-hoc representative may be appointed for that specific meeting. The SRB Secretariat shall be duly informed of this appointment in due time before the meeting.
4. Observers may also nominate alternate and ad-hoc representatives.
5. National resolution authorities and observers shall promptly provide the SRB Secretariat with the names and contact details of their appointed representatives, alternates and ad hoc representatives and any update thereof. The SRB Secretariat shall maintain an up-to-date list of all representatives, observers and their alternates.

6. Good time prior to any Plenary Session, the SRB Secretariat shall be informed of all accompanying persons attending the meeting.

Article 12
Records of Proceedings

1. The record of proceedings of each meeting of the Plenary Session shall be prepared under the responsibility of the Chair.
2. The meetings of the Plenary Session shall be recorded. The recording shall be strictly confidential and shall be used only for drawing up the records of proceedings. Access to the recording of the meeting shall be granted exclusively for the purpose of drafting and verifying the records of proceedings and in accordance with Regulation (EU) 2018/1725.
3. The record of proceedings shall be drawn up and shall contain in particular the agenda items and list of participants, a summary of the deliberations, a summary of the decisions taken, and a summary of the action points.
4. Each member and observer who attended the meeting shall have the right to ask for their position, as expressed in the meeting, to be recorded in the record of proceedings.
5. A written procedure for consultation on the records of proceedings shall be organised with the members and observers who attended the respective meeting. Within this procedure, the members and observers who consider that the draft records of proceedings do not accurately reflect their position, may submit proposed amendments. All proposed amendments shall be verified by the SRB Secretariat against the official recording of the meeting, which shall prevail.
6. The record of proceedings shall be adopted by a simple majority of members that attended the respective meeting of the Plenary Session via written procedure.
7. In order to authenticate them in accordance with Article 22, the records of proceedings shall be signed by the Chair or the Head of the SRB Secretariat once adopted by the Plenary Session.

Article 13
Quorum and Voting

1. In order for a meeting of the Plenary Session to be validly convened, there shall be a quorum of at least two-thirds of its members attending the meeting, either in person or by means of teleconference. If the quorum is not met, the Chair shall decide to postpone deliberations to another meeting.
2. Each member of the Plenary Session has one vote, which may only be exercised during the meeting. Votes shall express either support ('*I agree*'), disagreement ('*I disagree*'), or abstention ('*I abstain*'). Abstentions shall not be considered when calculating the number of votes cast. Decisions shall be taken by a simple majority of the votes. In the event of a tie, the Chair shall have the casting vote.
3. Members of the Plenary Session may delegate their voting right to another member of the Plenary Session. Such delegation shall be submitted in writing to the SRB Secretariat clearly specifying its scope. The Plenary Session shall be informed of the delegation prior to the deliberations of the relevant meeting.
4. By way of derogation from paragraph 1 of this Article, decisions referred to in Article 52(2) SRM Regulation, shall be taken by a simple majority of members, representing at least 30% of contributions. Abstentions shall not be considered when calculating the number of votes cast. Each member shall have one vote. In the event of a tie, the Chair shall have a casting vote.
5. By way of derogation from paragraph 1 of this Article, decisions referred to in Article 52(3) of SRM

Regulation, shall be taken by a majority of two thirds of the members, representing at least 30% of contributions. Abstentions shall not be considered when calculating the number of votes cast. Each member shall have one vote. In the event of a tie, the Chair shall have a casting vote.

6. Notwithstanding any other provision in these Rules of Procedures, where the Plenary Session is deciding on the use of the Fund pursuant to Article 50(1)(c) of SRM Regulation, the resolution scheme is deemed to be adopted unless, within three hours from the submission of the proposal to the Plenary Session, at least one member requested in writing to the SRB Secretariat to convene a meeting of the Plenary Session. In the latter case, the Chair shall convene a meeting of the Plenary Session or make use of written procedure to reach a decision on the resolution scheme.

Article 14
Meetings in case of urgency

1. In case of urgency, when convening a meeting of Plenary Session, the Chair may:
   (a) By derogation from Article 8(2), refrain from circulating the provisional agenda and the relevant documents five working days in advance of the meeting; and/or
   (b) By derogation from Article 13(1), and without prejudice to Article 13(4)–(6), specify that, the quorum of two-thirds of the members will not apply.
2. The reasons for such derogations shall be given by the Chair to the members and observers.

Section 2 - Written procedures

Article 15
Initiation of the Written Procedures

1. When the Plenary Session is convened as a written procedure, the SRB Secretariat shall circulate the envisaged item(s) and related documentation to the members and observers, indicating the applicable time limit of the procedure.
2. Within (i) 48 hours of the launch of the written procedure or (ii) by the time limit of the procedure, whichever is shorter, at least two members may object to proceed with all or specific item(s) via written procedure. The respective members shall submit reasoned objections in writing to the Chair and the SRB Secretariat. If the objection concerns only specific item(s), the written procedure shall continue for the remaining item(s).
3. Any item(s) objected in accordance with paragraph 2 shall be placed on the agenda of the next Plenary Session meeting or an ad hoc meeting convened by the Chair.

Article 16
Time limits for Written Procedures

1. Without prejudice to Article 18, the deadline of a written procedure shall not be shorter than:
   (a) five working days for items tabled for decision;
   (b) five working days for items tabled for discussion;
   (c) one working day for items tabled for information.

2. By derogation from paragraph 1 above, in case of urgency, the Chair may instruct the SRB Secretariat to shorten any time limits. The members and permanent observers shall be informed in advance to the extent possible. The reasons for the derogations shall be stated.

3. The Chair shall strive for written procedure under paragraph 2 above to require no less than 24 hours, or, in exceptional circumstances, no less than six hours, in which case the written procedure shall also be brought to the attention of members via phone immediately after the launch of the procedure. In situations of emergency, when initiating a written procedure pursuant to paragraph 2 above, the Chair may specify that, by way of derogation from Article 15(2), members may not object to the use of the written procedure. The reasons for such derogation should be given. The decisions taken by means of such written procedures shall be presented at one of the subsequent meetings of the Plenary Session.

4. Unless specified otherwise, the deadline for written procedures shall be set at 5:00 PM (CET) on the final day.

5. The written procedure may be closed before the expiry of the time limit:

   (a) for items tabled for decision - if all members have cast their votes;

   (b) for items tabled for discussion – if all members have provided their comments.

6. Where appropriate, the Chair may extend the deadline of a written procedure.

7. Amended versions of item(s) may be submitted by the SRB Secretariat during the written procedure. Where the amendments concern items tabled for decision or discussion, members shall be granted at least two working days to review the revised proposal. The original time limit for the written procedure shall be accordingly automatically extended to ensure the above. The obligation for extension shall not apply in case of amended versions that solely rectify clerical errors.

Article 17
Participation and Voting

1. The provisions of Articles 10 and 13(2) to (6) governing, respectively, attendance and voting at Plenary Session meetings, shall apply *mutatis mutandis* to the participation and voting in the written procedure.

2. The absence of a reply by a member of the Plenary Session in a written procedure shall be deemed as a vote supporting the proposal.

3. Members, permanent observers and observers of the Plenary Session, as applicable, should be timely informed about the outcome of the written procedures.

Article 18
Suspension and withdrawal of Written Procedures

1. Where this is appropriate due to the detection of a defect or in order to prepare an amendment to a proposal, the Chair may suspend the written procedure. The suspension may not last longer than seven working days and the written procedure shall resume when the text of the revised proposal is circulated.

2. The Chair shall inform the members, permanent observers and observers of the Plenary Session thereof.

3. The Chair may delegate the task provided in paragraph 1 and 2 to the Head of the SRB Secretariat.

4. The Chair may withdraw the written procedure at any time before the deadline. Where one third of the members oppose to the withdrawal, the written procedure is resumed and it shall last at least two working days.

Section 3 – Common provisions to decision-making procedures

Article 19
Language rules for the decision-making procedures

English shall be the language of decision-making procedures of the Plenary Session, including all deliberations.

Article 20
Access to Information

1. All members and observers of the Plenary Session shall have equal access to complete updated information as submitted to and as deliberated on at the meetings of the Plenary Session and may request further relevant information, necessary for the execution of their respective tasks.

2. The information made available to the members and observers of the Plenary Session shall include key items of information that enable a meaningful understanding of the issues being deliberated.

Article 21
SRB Secretariat

1. The Secretariat shall provide the necessary administrative and technical support in the performance of all the tasks assigned to the Board in its Plenary Session and be in charge of the consistent application of these Rules of Procedure.
2. The Secretariat may execute its tasks by making use of electronic means, including computer systems, networks and dedicated platforms. Documents may be made available in electronic format and through electronic means, including via email or via dedicated electronic platforms. Decision making procedures may be managed by means of electronic systems and views and votes may be collected electronically, including by means of emails. Decisions and record of proceedings may be taken and stored in electronic format.

Article 22
Authentication and Notification

1. The decisions of the Plenary Session and its records of proceedings shall be authenticated by the signature of the Chair or the Vice Chair in his/her absence, in case of reasonable impediment or Conflict of Interest.
2. The authentication may be delegated by the Chair to the Head of the SRB Secretariat. The delegation may occur by electronic means and may concern specific decisions or records of proceedings.
3. Notifications of decisions may be served also by means of emails or by using other electronic systems.

Chapter IV - Final Provisions

Article 23
Entry into Force

These Rules of Procedure shall enter into force on the next day following the adoption by the Board in its Plenary Session.

Article 24

Repeal

The “Rules of Procedure of the Board in its Plenary Session” adopted by the Plenary Session Decision SRB/PS/2020/15 of 24 June 2020 are hereby repealed.

Done at Brussels,

For the Single Resolution Board,

[Qsigned]

The Chair

Dominique LABOUREIX